



Nandlal Kessani (COMPLIANCE SPECIALIST)

SUMMARY

I am an MBA graduate, I have 9+ Years of diversified Banking experience, especially 7 Years in Compliance, Anti Money Laundering, Sanction Screening, Transactions Monitoring, Know Your customer, Enhance Due Diligence, Risk-Based Approach, Client Onboarding, Suspicious Transactions Reporting, Quality Assurance and General Branch Banking. Urgently looking for change and interested in working in your estimated organization for suitable position where I can utilize my skills for career growth along with organizational objective.



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ACHIVEMENT

CEO appreciate me on some
Cases highlighted during the
compliance observation.

The Financial Intelligence
Unit of Pakistan also
appreciates some cases
reported.

Consecutively promoted to next
level designation throughout my
career progress.

PERSONAL DETAILS

Date of birth

July 15, 1988

Nationality

Pakistani

Visa status

Residence Visa

Marital status

Married

WORK EXPERIENCE (9+ Years)

Emirates NBD Dubai UAE Feb 2022 Present



Transactions Monitoring Officer

- My core responsibility is to assist in Anti-Money Laundering to address the risk of Money Laundering and Terrorist Financing through timely Monitoring of Transactions and reporting of unusual transactions patterns which is a regulatory obligation of the Financial Institution.
- To review the transaction alerts being generated by an automated AML System the Financial Crime Risk Management (FCRM) with respect to the customer profile, market condition, and transactions pattern's behavior.
- To perform an investigation on the alerts and if any additional information is required for the alerted transaction.
- To either close the alert upon being satisfied or convert it into Request for Information (RFI) where additional information is required from the business.
- Update all findings in the audit log and save it in FCRM with supporting documents.

Bank Al Habib / Pakistan / Aug 2015 to Jan 2022



Controller in Transactions Monitoring Unit

- During a period of 6.5 years, I worked as an analyst, supervisor and controller. The following are my job assignments in different phase.
- Assist transactions monitoring teams to review Mantas alerts for potential money laundering and terrorism financing risks.
- Transaction monitoring of employee Account to identify the risk of fraud, corruption, and money laundering.
- Client onboarding of High risk Account.
- To assist AML Unit Head in the preparation of STRs for further reporting to the Financial Monitoring Unit (FMU).
- Sanctions screening** through Safe Watch is used in the detection, prevention, and disruption of sanctions risk. It identifies true matches, investigates, and if found suspicious, takes further actions for reporting.
- Conducted reviews for **Quality Assurance** of alerts closure, proposed and implemented standardized measures (scenario specific) for review and closure of alerts
- Transaction monitoring of Roshan Digital Account according to AML perspective.
- Transaction monitoring against law enforcement agencies (LEA's) queries.
- Conduct UAT for implementation of Oracle Financial Services Financial Crimes and Compliance Management version 8.0.8.
- Assist in preparing different Management Information Reports regarding AML transaction monitoring system, central bank reporting, branch compliance management for analyzing and enhancing their efficiency regarding the proper resolution of major compliance issues.
- Timely accomplishment of daily and ongoing assignment as per instruction received Head of AML/CFT Unit.
- Also involved in various Compliance reporting, Bank correspondence external audits, internal audit and regularity audit reviews, and assignments

EDUCATION

JAIBP (In process)
The Institute of Bankers
Pakistan

Major in Banking and Finance

Master's Degree
Mohammad Ali Jinnah
University
Karachi
2014

MBA in Banking & Finance
with 3.5 CGPA

Bachelor of Commerce
Karachi University
Karachi
2010

Major is Commerce

LANGUAGES

English

Urdu

Sindhi

EXTRA- CURRICULAR ACTIVITIES

Compliance-related training
attends online on regular basis
to enhance my field knowledge and
career growth.

LINKS

LinkedIn:

<https://www.linkedin.com/in/hand-kessani-a1865a33/>

MCB Bank LTD / Pakistan / Jul 2013 - Aug 2015



General Banking Officer

- Manage new to bank and provide proper guidance regarding procedures and requirements to new/prospective customers. Account opening procedure, documentation, and Know Your Customer (KYC).
- Accepting and processing all types of clearing cheques.
- Issuing pay order, drafts, and casher cheque furthermore encashment or payment of these cheques.
- Transfer and posting of internal and external posting.
- Reporting to branch operation manager.
- Perform duty in minimum time and serve maximum customers to achieve and maintain a high level of efficiency and effectiveness for customer satisfaction

SKILLS & Compliance Application

Transactions Monitoring

Anti-Money Laundering

Enhance Due Diligence

Client Onboarding

Sanctions Screening

Team Management

General Branch Banking

FCRM

Safe Watch / API

Lotus Notes

Finacle

Integrity & Transparency

Compliance

Know Your Customer

Risk-Based Approach

Suspicious Transactions
Reporting

Analytical

Quality Assurance

MS Office

Oracle FCCM (Mantas)

World-Check

go-AML

Siebel CRM

Innovation

TRAINING

Dellsons Associates, Sigma Risk & International Compliance Association

- Trade-Based Financial Crime Training Certificate on Dec 9, 2021.

Connect Global Group

- Certificate for attending training on the Future of CDD & KYC on Dec 7, 2021

Institute of Financial Market of Pakistan

- Certificate for attending training on AML & CFT conducted at Karachi Jan 23, 2020

National Institute of Banking & Finance

- Certificate for attending training on AML & CFT conducted at Karachi Mar 25 to 26 of 2019